



## Order Routing

### SEC Rule 606

#### Disclosure of Order Routing Practices

Realta Equities, Inc. directs order flow to its clearing firms for execution. Realta Equities, Inc. does not receive compensation for directing order flow.

Our clearing firms, Wells Fargo Clearing Services, LLC ("WFCS") and Axos Clearing, has provided statistical data concerning the routing of order flow (the "Data") on its web site. Although WFCS and Axos has used commercially reasonable efforts to provide accurate Data, the Data is provided to you on an "as is" basis.

If you would like to read this information, you will be redirected away from this site to another site hosted by WFCS and Axos Clearing.

#### **WFCS**

<https://www.wellsfargoclearingservicesllc.com/disclosures/order-routing.htm>

#### **Axos Clearing**

<https://axosclearing.com/disclosures/>

PHONE (888) 657-5200